FORM NLRB-501 (3-21)

# UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

| DO NOT WRITE IN THIS SPACE   |                       |  |
|------------------------------|-----------------------|--|
| <sup>Case</sup> 10-CA-279843 | Date Filed 07-14-2021 |  |

### INSTRUCTIONS:

File an original with NLRB Regional Director for the Region in which the alleged unfair labor practice occurred or is occurring

| 1 FMPLOYER AGAINS  | ST WHOM CHARGE IS BROUGHT                         |  |
|--|---|--|
| a. Name of Employer  |   | b. Tel. No. <b>(540) 962-8715</b>      |
| Garten Trucking LLC  |   | c. Cell No.                            |
|  |   | C. Gell No.                            |
|  |   | f. Fax No. <b>(540) 962-7088</b>       |
| d. Address (Street, city, state, and ZIP code)   | e. Employer Representative                        | g. e-Mail                              |
|  |   | gartentrucking@yahoo.com               |
| 128 N Maple Avenue<br>Covington, VA 24426  | Ben Strozier, General Manager                     | h. Number of workers employed<br>104   |
| i. Type of Establishment (factory, mine, wholesaler, etc.)   | j. Identify principal product or service          |  |
| Trucking Company   | Trucking  |  |
| Basis of the Charge (set forth a clear and concise state)  See Attachment A  | ement of the facts constituting the alleged u     | unfair labor practices)                |
| Full name of party filing charge (if labor organization, g  Association of Western Pulp and Paper Workers                                  | give full name, including local name and nur      | nber)                                  |
| 4a. Address (Street and number, city, state, and ZIP code)   |   | 4b. Tel. No. <b>(503) 228-7486</b>     |
| P.O. Box 4566  |   | 4c. Cell No. <b>(503) 709-6132</b>     |
| 1430 SW Clay Street  |   | 4d. Fax No. <b>(503) 972-7684</b>      |
| Portland, OR 97208-4566  |   | 4e. e-Mail <u>mcook@awppw.org</u>      |
| Full name of national or international labor organization filed by a labor organization)     Association of Western Pulp and Paper Workers | n of which it is an affiliate or constituent unit |  |
| 6. DECLARATION   | l   | Tel. No.(510) <b>337-1001</b>          |
| I declare that I have read the above charge and that the statem and belief.  | ents are true to the best of my knowledge         | Office, if any, Cell No.               |
| Dans Araceful  | DAVID A. ROSENFELD, Attorney                      | Fax No. (510) <b>337-1023</b>          |
| (signature of representative or person making charge)  | (Print/type name and title or office, if any)     | e-Mail<br>nlrbnotices@unioncounsel.net |
| Address: <b>Weinberg, Roger &amp; Rosenfeld</b> 1375 55 <sup>th</sup> Street   | July 13, 2021 (date)                              | drosenfeld@unioncounsel.net            |
| Emeryville, CA 94608   | (uate)  |  |

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT

### ATTACHMENT A

Within the last six months the above-named Employer has engaged in the following unlawful conduct:

- 1. Spying on and Surveillance of employees engaged in union and/or protected activity.
- 2. Interrogation of employees regarding union and/or protected activity.
- 3. Threats to go out of business and terminate its agreement with its client Westrock because of union and/or protected activity.
- 4. Threats to shut the company down if unionized.
- 5. Threats to retaliate against and/or discriminate against employees who support the union in any way.
- 6. Telling employees they could not talk to other employees about the union or solicit authorization cards.
- 7. Denying employees overtime or benefits on account of union and/or protected activity.
- 8. Telling employees that the union will have to negotiate with Garten's contractor, Westrock, because the employer would be shutting down.
- 9. Suspension of an employee and termination of the employee on account of union and/or protected activity.

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# UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD



Download NLRB Mobile App

SUBREGION 11 4035 University Pkwy Ste 200 Winston Salem, NC 27106-3275

July 14, 2021

Agency Website: www.nlrb.gov

Telephone: (336)631-5201

Fax: (336)631-5210

Ben Strozier, General Manager Garten Trucking LLC 128 N Maple Ave Covington, VA 24426-1545

Re: Garten Trucking LLC

Case 10-CA-279843

Dear Mr. Strozier,

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Examiner NEIL SAGUCIO whose telephone number is (336)582-7141. If this Board agent is not available, you may contact Supervisory Attorney SHANNON R. MEARES whose telephone number is (336)582-7137.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board

agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence:</u> All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

Lisa Y. Henderson Acting Regional Director

By:

Scott C. Thompson Officer in Charge

### Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire



## UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD



SUBREGION 11 4035 University Pkwy Ste 200 Winston Salem, NC 27106-3275 Agency Website: www.nlrb.gov Telephone: (336)631-5201 Fax: (336)631-5210 Download NLRB Mobile App

July 14, 2021

Association of Western Pulp and Paper Workers PO Box 4566 Portland, OR 97208-4566

Re: Garten Trucking LLC

Case 10-CA-279843

Dear Sir or Madam:

The charge that you filed in this case on July 14, 2021 has been docketed as case number 10-CA-279843. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Examiner NEIL SAGUCIO whose telephone number is (336)582-7141. If this Board agent is not available, you may contact Supervisory Attorney SHANNON R. MEARES whose telephone number is (336)582-7137.

**Right to Representation:** You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text

messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

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We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

Lisa Y. Henderson Acting Regional Director

By:

Scott C. Thompson Officer in Charge

cc: David A. Rosenfeld, ESQ.
Weinberg, Roger & Rosenfeld
1375 55th Street
Emeryville, CA 94608

### NATIONAL LABOR RELATIONS BOARD

## NOTICE OF APPEARANCE

| Garten Trucking LLC   | 1   |  |  |
|---|---|--|--|
| Carter Trucking LLO   | •   |  |  |
| and Association of Western Pulp and Paper Workers   | CASE 10-CA-279843   |  |  |
|   |   |  |  |
| REGIONAL DIRECTOR  EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570  | GENERAL COUNSEL  NATIONAL LABOR RELATIONS BOARD  Washington, DC 20570 |  |  |
| THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTAT   | IVE OF  |  |  |
| Garten Trucking LLC   |   |  |  |
|   |   |  |  |
| IN THE ABOVE-CAPTIONED MATTER.  |   |  |  |
| CHECK THE APPROPRIATE BOX(ES) BELOW:  REPRESENTATIVE IS AN ATTORNEY  IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE THAT THE PARTY MAY RECEIVE COPIES OF CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN ADDITION TO THOSE DESCRIBED BELOW, THIS BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY WILL RECEIVE ONLY COPIES OF CERTAIN DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMENTS AS DESCRIBED IN SEC. 11842.3 OF THE CASEHANDLING MANUAL. |   |  |  |
| (REPRESENTATIVE INFORI  | MATION)   |  |  |
| Agnis Chakravorty   |   |  |  |
| MAILING ADDRESS: Woods Rogers PLC, P.O. Box 14125, Roanoke, Virginia 24038-4125   |   |  |  |
| E-MAIL ADDRESS: achakrav@woodsrogers.com  |   |  |  |
| OFFICE TELEPHONE NUMBER: 540-983-7727   |   |  |  |
| CELL PHONE NUMBER: 540-529-3488   | _ <sub>FAX:</sub> 540-983-7711  |  |  |
| SIGNATURE: (Please sign in ink.) DATE: 7/19/2/  |   |  |  |

 $<sup>^1</sup>$  IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.

### NATIONAL LABOR RELATIONS BOARD

### NOTICE OF APPEARANCE

| Garten Trucking LLC   |   |  |  |
|---|---|--|--|
| and<br>Association of Western Pulp and Paper Workers  | CASE 10-CA-279843   |  |  |
| X REGIONAL DIRECTOR  EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570  | GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570 |  |  |
| THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTATIVE OF  Garten Trucking LLC  |   |  |  |
| IN THE ABOVE-CAPTIONED MATTER.  |   |  |  |
| CHECK THE APPROPRIATE BOX(ES) BELOW:  REPRESENTATIVE IS AN ATTORNEY  IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE THAT THE PARTY MAY RECEIVE COPIES OF CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN ADDITION TO THOSE DESCRIBED BELOW, THIS BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY WILL RECEIVE ONLY COPIES OF CERTAIN DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMENTS AS DESCRIBED IN SEC. 11842.3 OF THE CASEHANDLING MANUAL. |   |  |  |
| (REPRESENTATIVE INFORM  | MATION)   |  |  |
| King F. Tower   |   |  |  |
| MAILING ADDRESS: Woods Rogers PLC, P.O. Box 14125, Roar   | noke, Virginia 24038-4125   |  |  |
| E-MAIL ADDRESS: ktower@woodsrogers.com  |   |  |  |
| OFFICE TELEPHONE NUMBER: 540-983-7541   |   |  |  |
| CELL PHONE NUMBER: 540-354-7053   | <sub>FAX:</sub> 540-983-7711  |  |  |
| SIGNATURE: (Place sign in ink.)   |   |  |  |

 $<sup>^1</sup>$  IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.